

Furstenberg boundary for discrete quantum groups

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- Motivation
- Discrete quantum groups
- Actions
- Orthogonal free quantum groups

2 Boundary actions

- Γ -boundaries
- Boundaries and unique stationarity
- The Gromov boundary of $\mathbb{F}O_Q$
- An $\mathbb{F}O_Q$ -boundary

3 Faithfulness of boundary actions

- Uniqueness of trace
- Universal boundary and the amenable radical

Motivation

Classical results:

- notion of Γ -**boundary** in topological dynamics (Furstenberg, 1950s)
- surprising connection with the structure of **reduced group C^* -algebra** (Kalantar-Kennedy, Breuillard-Kalantar-Kennedy-Ozawa, 2010s)

Theorem (BKKO)

$C_{\text{red}}^*(\Gamma)$ *simple* $\Leftrightarrow \exists$ *free Γ -boundary* $\Gamma \curvearrowright X$.

$C_{\text{red}}^*(\Gamma)$ *has a unique trace* $\Leftrightarrow \exists$ *faithful Γ -boundary* $\Gamma \curvearrowright X$.

In particular simplicity \Rightarrow uniqueness of trace for reduced C^* -algebras of discrete groups. The converse is false.

Quantum case?

- other notions of boundary already studied (Poisson, Martin, Gromov...)
- type III examples — no trace on $C_{\text{red}}^*(\Gamma)$ in “non Kac” cases!

Discrete quantum groups

A **discrete quantum group** Γ is given by :

- a von Neumann algebra $\ell^\infty(\Gamma) = \bigoplus_{\alpha \in I}^{\ell^\infty} B(H_\alpha)$ with $\dim H_\alpha < \infty$
- a normal $*$ -homomorphism $\Delta : \ell^\infty(\Gamma) \rightarrow \ell^\infty(\Gamma) \bar{\otimes} \ell^\infty(\Gamma)$ such that $(\Delta \otimes \text{id})\Delta = (\text{id} \otimes \Delta)\Delta$ (coproduct)
- left and right Δ -invariant nsf weights h_L, h_R on $\ell^\infty(\Gamma)$

Γ is **unimodular** if $h_L = h_R$. Denote $\ell^2(\Gamma) = L^2(\ell^\infty(\Gamma), h_L)$.

Canonical dense subalgebra : $c_0(\Gamma) \subset \ell^\infty(\Gamma)$ given by $\bigoplus_{\alpha \in I}^{c_0}$.
It is a multiplier Hopf C^* -algebra.

Tensor C^* -category $\text{Corep}(\Gamma) = \text{Rep}(\ell^\infty(\Gamma))$ with $\pi \otimes \rho := (\pi \otimes \rho)\Delta$.
 $I = \text{Irr}(\Gamma)$: simple objects up to equivalence.

Classical case: $\Gamma = \Gamma = I$ when $\dim H_\alpha = 1$ for all α .

Actions

A Γ - C^* -**algebra** is a C^* -algebra A equipped with a $*$ -homomorphism $\alpha : A \rightarrow M(c_0(\Gamma) \otimes A)$ such that $(\text{id} \otimes \alpha)\alpha = (\Delta \otimes \text{id})\alpha$ (coaction).

For $a \in A$, $\nu \in A^*$, $\mu \in c_0(\Gamma)^*$ we can then define

$$L_\mu(a) = (\mu \otimes \text{id})\alpha(a) \in M(A),$$

$$P_\nu(a) = (\text{id} \otimes \nu)\alpha(a) \in \ell^\infty(\Gamma),$$

$$\mu * \nu = (\mu \otimes \nu)\alpha \in A^*.$$

A Γ -map $T : A \rightarrow B$ is a linear map such that $T \circ L_\mu = L_\mu \circ T$.

Example: $A = c_0(\Gamma)$, $\alpha = \Delta$ “translation action”.

By invariance, the maps L_μ extend to bounded operators on $\ell^2(\Gamma)$.

→ C^* -algebra $C_{\text{red}}^*(\Gamma) = \overline{\text{Span}} \{L_\mu\}$.

It is a Woronowicz C^* -algebra, with Haar state denoted h .

Orthogonal free quantum groups

Let $N \in \mathbb{N}$, $Q \in GL_N(\mathbb{C})$ s.t. $Q\bar{Q} = \pm I_N$.

The discrete quantum group $\Gamma = FO(Q)$ can be described as follows:

- $\text{Corep}(FO(Q))$ is the Temperley-Lieb category with $\delta = \text{Tr}(Q^*Q)$,
- $I = \mathbb{N}$ with $k \otimes 1 \simeq 1 \otimes k \simeq (k-1) \oplus (k+1)$, $\bar{k} = k$,
- $H_0 = \mathbb{C}$, $H_1 = \mathbb{C}^N$ and $\text{Hom}(0, 1 \otimes 1) = \mathbb{C}t_1$ with $t_1 = \sum e_i \otimes Qe_i$.

We can then construct H_k by induction, $\ell^\infty(FO_Q)$ and compute Δ .

Then $C_{\text{red}}^*(FO_Q)$ is the reduced version of Wang's algebra:

$$A_o(Q) = C^*\langle 1, u_{ij} \mid uu^* = u^*u = I_n, Q\bar{u}Q^{-1} = u \rangle,$$

$$\Delta(u_{ij}) = \sum_k u_{ik} \otimes u_{kj}.$$

The terminology comes from the following “classical” quotients of $A_o(I_N)$:

$$A_o(I_N)/(u_{ij}, i \neq j) \simeq C^*(FO_N), \quad A_o(I_N)/([u_{ij}, u_{kl}]) \simeq C(O_N).$$

where $FO_N = (\mathbb{Z}/2\mathbb{Z})^{*N}$ and O_N is the classical orthogonal group.

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Γ -boundaries

Classical case: $\Gamma \curvearrowright X$ compact.

We have $X \subset \text{Prob}(X)$ via Dirac measures and $\Gamma \curvearrowright \text{Prob}(X)$.

The action $\Gamma \curvearrowright X$ is:

- minimal if $\forall x, y \in X \exists g_n \in \Gamma$ s.t. $\lim g_n \cdot x = y$,
in other words: $\forall x \in X \overline{\Gamma \cdot x} = X$;
- proximal if $\forall x, y \in X \exists g_n \in \Gamma$ s.t. $\lim g_n \cdot x = \lim g_n \cdot y$;
- strongly proximal if $\Gamma \curvearrowright \text{Prob}(X)$ proximal,
or equivalently: $\forall \nu \in \text{Prob}(X) \overline{\Gamma \cdot \nu} \cap X \neq \emptyset$.

X is a Γ -**boundary** if it is minimal and strongly proximal.

Classical examples:

- G connected simple Lie group, $H < G$ maximal amenable, $X = G/H$
- Γ non elementary hyperbolic, $X = \partial_G \Gamma$ Gromov boundary

Γ -boundaries

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X is a Γ -**boundary** if it is minimal and strongly proximal.

Equivalently:

- i) $\forall \nu \in \text{Prob}(X) \quad X \subset \overline{\Gamma \cdot \nu}$
- ii) $\forall \nu \in \text{Prob}(X) \quad \text{Prob}(X) = \overline{\text{Prob}(\Gamma) * \nu}$
- iii) $\forall \nu \in \text{Prob}(X) \quad P_\nu$ is an isometry
- iv) all UCP Γ -maps $T : C(X) \rightarrow B$ are complete isometries

UCP: unital completely positive, “complete”: remains true for $T \otimes \text{id}$, automatic if $A = C(X)$ is commutative but not in general.

Quantum case:

- take $\Gamma \curvearrowright A$ unital, replace $\text{Prob}(X)/\text{Prob}(\Gamma)$ with $S(A)/S(c_0(\Gamma))$
- i) has no meaning, only ii) \Leftarrow iii) \Leftarrow iv)

Boundaries and unique stationarity

Definition

A unital Γ - C^* -algebra A is a Γ -boundary if every UCP Γ -map $T : A \rightarrow B$ is automatically UCI.

This has good categorical properties : $\mathbb{C} \hookrightarrow A$ is an “essential extension” in the category of unital Γ - C^* -algebras with UCP Γ -maps as morphisms and UCI Γ -maps as embeddings.

Choose $\mu \in S(c_0(\Gamma))$. A state $\nu \in S(A)$ is μ -stationary if $\mu * \nu = \nu$.

Proposition (Kalantar)

Assume that A admits a unique μ -stationary state ν and that P_ν is completely isometric. Then A is a Γ -boundary.

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Assume that A admits a unique μ -stationary state ν and that P_ν is completely isometric. Then A is a Γ -boundary.

Proof. ν is stationary **iff** $P_\nu(A) \subset H_\mu^\infty(\Gamma) := \{f \in \ell^\infty(\Gamma) \mid L_\mu(f) = f\}$. Then P_ν is the unique UCP Γ -map $A \rightarrow H_\mu^\infty(\Gamma)$. Moreover we know that $H_\mu^\infty(\Gamma)$ is Γ -injective. Thus it suffices to apply:

Exercise. Let $X \hookrightarrow Y$ be an embedding, Z an injective object. Assume that there exists a unique morphism $Y \rightarrow Z$, which is moreover an embedding. Then $X \hookrightarrow Y$ is essential.

The Gromov boundary of $\mathbb{F}O_Q$

Classical case: free group $\Gamma = \Gamma = F_N$.

Word length: $|g|$, spheres: $S_n = \{g \in F_N; |g| = n\}$.

“Gromov” boundary $\partial_G F_N$: set of infinite reduced words.

Compactification $\beta_G F_N = F_N \sqcup \partial_G F_N$ with topology of projective limit:

$\partial_G F_N = \varprojlim (S_k, \rho_k)$ where $\rho_k : S_{k+1} \rightarrow S_k$ “forgets last letter”.

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At the level of function algebras:

$$\ell^\infty(F_N) = \bigoplus_k^{\ell^\infty} C(S_k) \text{ and } C(\beta_G F_N) = \overline{\bigcup_m C(\beta_G F_N)_m} \subset \ell^\infty(F_N) \text{ with}$$

$$C(\beta_G F_N)_m = \{(f_k)_k \in \bigoplus_k^{\ell^\infty} C(S_k) \mid \forall k \geq m \ f_{k+1} = f_k \circ \rho_k\}$$

Quantum case: $\Gamma = \mathbb{F}O_Q$, $N \geq 3$.

Recall $\ell^\infty(\Gamma) = \bigoplus_{k \geq 0}^{\ell^\infty} B(H_k)$ and we have canonical isometries

$V_k : H_{k+1} \rightarrow H_k \otimes \bar{H}_1$ from the Temperley-Lieb category.

Replace: $C(S_k) \leftrightarrow B(H_k)$, $f_k \circ \rho_k \leftrightarrow V_k^*(f_k \otimes \text{id})V_k$.

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Theorem (Vaes-Vergnioux '05)

$C(\beta_G \mathbb{F}O_Q)$ is a sub- $\mathbb{F}O_Q$ - C^* -algebra of $\ell^\infty(\mathbb{F}O_Q)$.

We also denote $C(\partial_G \mathbb{F}O_Q) = C(\beta_G \mathbb{F}O_Q)/c_0(\mathbb{F}O_Q)$, which is still a unital $\mathbb{F}O_Q$ - C^* -algebra.

An $\mathbb{F}O_Q$ -boundary

We have “quantum traces” $\text{qtr}_k : B(H_k) \rightarrow \mathbb{C}$.

They satisfy $\text{qtr}_{k+1}(V_k^*(f_k \otimes \text{id})V_k) = \text{qtr}_k(f_k)$

→ we get a state $\omega = \varinjlim \text{qtr}_k$ on $C(\partial_G \mathbb{F}O_Q)$.

One checks that ω is μ -stationary for $\mu = \text{qtr}_1 \in B(H_1)^* \subset c_0(\mathbb{F}O_Q)^*$.

Denote $C_r(\partial_G \mathbb{F}O_Q)$ the image of the GNS representation of ω .

Theorem (Vaes-Vergnioux '05)

Assume $N \geq 3$. Then P_ω extends to a normal $*$ -isomorphism

$$P_\omega : C_r(\partial \mathbb{F}O_Q)'' \rightarrow H_\mu^\infty(\mathbb{F}O_Q).$$

Theorem (KKSv '20)

For $N \geq 3$, ω is the unique μ -stationary state on $C(\partial_G \mathbb{F}O_Q)$.

Hence $C_r(\partial_G \mathbb{F}O_Q)$ is an $\mathbb{F}O_Q$ -boundary.

proof

For $N = 2$, $\mathbb{F}O_Q$ is amenable, the only $\mathbb{F}O_Q$ -boundary is \mathbb{C} .

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Uniqueness of trace

Definition

The cokernel $N_\alpha \subset \ell^\infty(\Gamma)$ of α is the weak closure of $\{P_\nu(a), a \in A, \nu \in A^*\}$. We say that α is faithful if $N_\alpha = \ell^\infty(\Gamma)$.

We have $\Delta(N_\alpha) \subset N_\alpha \bar{\otimes} N_\alpha$: “Baaj-Vaes” subalgebra. In the classical case this implies $N_\alpha = \ell^\infty(\Gamma)^\Lambda$ with $\Lambda \triangleleft \Gamma$, and we have $\Lambda = \text{Ker } \alpha$ in this case.

Recall : h is a trace $\Leftrightarrow \Gamma$ unimodular.

Theorem (KKS'V '20)

Assume that Γ acts faithfully on some Γ -boundary A . Then:

- if Γ is unimodular, h is the unique trace on $C_{\text{red}}^*(\Gamma)$;
- else $C_{\text{red}}^*(\Gamma)$ does not admit any KMS state wrt the scaling group.

Question: in the unimodular case, does uniqueness of trace imply the existence of a faithful boundary action?

Uniqueness of trace

Recall : h is a trace $\Leftrightarrow \Gamma$ unimodular.

Theorem (KKS '20)

Assume that Γ acts faithfully on some Γ -boundary A . Then:

- if Γ is unimodular, h is the unique trace on $C_{\text{red}}^*(\Gamma)$;
- else $C_{\text{red}}^*(\Gamma)$ does not admit any KMS state wrt the scaling group.

Question: in the unimodular case, does uniqueness of trace imply the existence of a faithful boundary action?

Theorem (KKS '20)

For $N \geq 3$, $\mathbb{F}O_Q$ acts faithfully on $\partial_G \mathbb{F}O_Q$.

Note: in this case, uniqueness of trace was already proved in [VV '05]. In the non-unimodular case, the absence of τ -KMS state is new.

Universal boundary and the amenable radical

Recall that an injective envelope is an injective and essential extension.

Theorem (Hamana, KKS'20)

\mathbb{C} admits an injective envelope $C(\partial_F \Gamma) := I_\Gamma(\mathbb{C})$, which is unique up to unique isomorphism. We call it the Furstenberg boundary of Γ .

Then any Γ -boundary embeds in a unique way in $C(\partial_F \Gamma)$.

There exists a faithful Γ -boundary **iff** $\Gamma \curvearrowright \partial_F \Gamma$ is faithful.

In the classical case the kernel of this action is the maximal amenable normal subgroup of Γ (amenable radical).

Universal boundary and the amenable radical

Theorem (Hamana, KKS'V '20)

\mathbb{C} admits an injective envelope $C(\partial_F \Gamma) := I_\Gamma(\mathbb{C})$, which is unique up to unique isomorphism. We call it the Furstenberg boundary of Γ .

There exists a faithful Γ -boundary **iff** $\Gamma \curvearrowright \partial_F \Gamma$ is faithful.

In the classical case the kernel of this action is the maximal amenable normal subgroup of Γ (amenable radical).

A Γ -invariant subalgebra $M \subset \ell^\infty(\Gamma)$ is called *relatively amenable* if there exists a UCP Γ -map $T : \ell^\infty(\Gamma) \rightarrow M$.

Theorem (KKS'V '20)

The cokernel N_F of $\Gamma \curvearrowright \partial_F \Gamma$ is the unique minimal relatively amenable Baaj-Vaes subalgebra of $\ell^\infty(\Gamma)$.

Hence there exists a faithful Γ -boundary **iff** $\ell^\infty(\Gamma)$ has no proper relatively amenable Baaj-Vaes subalgebra.

Proof of unique stationarity for F_N

$S_n \subset F_N$: reduced words of length n . μ_n : uniform proba measure on S_n .
Gromov boundary: $\partial_G F_N \simeq S_\infty$. Put $X_g = \{g \cdots \text{reduced}\} \subset S_\infty$.

Proposition

Let ω be a proba measure on S_∞ such that $\mu_1 * \omega = \omega$. Then for any $g \in F_N$ we have $\omega(X_g) = (\#S_{|g|})^{-1}$.

It is sufficient to prove $\omega(X_g) \leq (\#S_k)^{-1}$ for $|g| = k$.

Observe that the assumption implies $\mu_n * \omega = \omega$ for all n .

Let me show that $(\mu_n * \omega)(X_g) \leq (\#S_k)^{-1} + o(\frac{1}{n})$.

We have $(\mu_n * \omega)(X_g) = (\#S_n)^{-1} \sum_{|h|=n} \omega(hX_g)$.

Proof of unique stationarity for F_N

Let me show that $(\mu_n * \omega)(X_g) \leq (\#S_k)^{-1} + o(\frac{1}{n})$.

We have $(\mu_n * \omega)(X_g) = (\#S_n)^{-1} \sum_{|h|=n} \omega(hX_g)$.

Case 1: the last letter of g is not simplified in the product hg , i.e. $|hg| = k + n - 2l$ with $0 \leq l \leq k - 1$. Then $hX_g = X_{hg}$ and when l is fixed these subsets are pairwise disjoint. Hence for fixed l :

$$\sum \{\omega(hX_g); |h| = n, |hg| = k + n - 2l\} \leq 1.$$

$$\begin{aligned} \text{Altogether } (\mu_n * \omega)(X_g) &\leq (\#S_n)^{-1} \sum_{l=0}^{k-1} 1 + \text{case 2} \\ &= (\#S_n)^{-1} k + \text{case 2.} \end{aligned}$$

Proof of unique stationarity for F_N

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We have $(\mu_n * \omega)(X_g) = (\#S_n)^{-1} \sum_{|h|=n} \omega(hX_g)$.

Case 1: the last letter of g is not simplified in the product hg , i.e. $|hg| = k + n - 2l$ with $0 \leq l \leq k - 1$. Then $hX_g = X_{hg}$ and when l is fixed these subsets are pairwise disjoint. Hence for fixed l :

$$\sum \{ \omega(hX_g); |h| = n, |hg| = k + n - 2l \} \leq 1.$$

Case 2: use the trivial estimate $\omega(hX_g) \leq 1$. In this case the last k letters of h are fixed, equal to g^{-1} , so we have $(2N - 1)^{n-k}$ such elements h .

$$\begin{aligned} \text{Altogether } (\mu_n * \omega)(X_g) &\leq (\#S_n)^{-1} \sum_{l=0}^{k-1} 1 + (\#S_n)^{-1} (2N - 1)^{n-k} \\ &= (\#S_n)^{-1} k + (\#S_k)^{-1} \rightarrow_{n \rightarrow \infty} (\#S_k)^{-1}. \end{aligned}$$

Indeed $\#S_n = 2N(2N - 1)^{n-1}$.

back

A real-world application

Remark

Thank you for your attention!

