

# Stabilizer subgroups of universal compact quantum groups and the Connes embedding property

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Paris, October 19th, 2015

# Outline

## 1 Introduction

- Orthogonal free quantum groups
- Discrete quantum groups
- Main results

## 2 Stabilizer subgroups

- Generating subgroups
- Stabilizer subgroups of  $O_n^+$
- Idea of the proof

## 3 Applications

- Connes' embedding property
- Free entropy dimension and microstates

# Orthogonal free quantum groups

Wang's algebra defined by generators and relations:

$$A_o(n) = \langle u_{ij}, 1 \leq i, j \leq n \mid u_{ij} = u_{ij}^*, \quad (u_{ij}) \text{ unitary} \rangle.$$

Consider the discrete group  $FO_n = (\mathbb{Z}/2\mathbb{Z})^{*n}$  and the compact group  $O_n$ .  
 We have two interesting quotient maps:

$$\begin{aligned} A_o(n) &\rightarrow A_o(n)/I \simeq C^*(FO_n) \quad \text{with} \quad I = \langle u_{ij}, i \neq j \rangle, \\ A_o(n) &\rightarrow A_o(n)/J \simeq C(O_n) \quad \text{with} \quad J = \langle [u_{ij}, u_{kl}] \rangle. \end{aligned}$$

We denote  $A_o(n) = C^*(FO_n) = C(O_n^+)$ . There is a natural coproduct

$$\Delta : A_o(n) \rightarrow A_o(n) \otimes A_o(n), \quad u_{ij} \mapsto \sum_k u_{ik} \otimes u_{kj}.$$

→  $FO_n$  is a discrete quantum group and  $O_n^+$  is a compact quantum group:  
 the “orthogonal free quantum group” and the “universal orthogonal  
 quantum group”, dual to each other.

# Discrete/Compact quantum groups

A Woronowicz  $C^*$ -algebra is a unital  $C^*$ -algebra  $A$  with  $*$ -homomorphism  $\Delta : A \rightarrow A \otimes A$  (coproduct) such that

- $(\Delta \otimes \text{id})\Delta = (\text{id} \otimes \Delta)\Delta$ ,
- $\Delta(A)(1 \otimes A)$  and  $\Delta(A)(A \otimes 1)$  are dense in  $A \otimes A$ .

Notation :  $A = C^*(\Gamma) = C(\mathbb{G})$ .

Examples :

- $G$  compact group,  $A = C(G)$ ,  $\Delta(f) = ((x, y) \mapsto f(xy))$ , characterized by commutativity of  $A$  ;
- $\Gamma$  discrete group,  $A = C^*(\Gamma)$ ,  $\Delta(g) = g \otimes g$  — but also  $A = C_{\text{red}}^*(\Gamma)$ , characterized by co-commutativity :  $\Sigma\Delta = \Delta$ .

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General theory :

- Haar state  $h \in C^*(\mathbb{G})^*$   $\rightarrow$  GNS representation  $\lambda : C^*(\mathbb{G}) \rightarrow B(\ell^2 \mathbb{G})$ ,
- $C_{\text{red}}^*(\mathbb{G}) = \lambda(C^*(\mathbb{G}))$  and  $\mathcal{L}(\mathbb{G}) = C_{\text{red}}^*(\mathbb{G})''$ ,
- trivial representation / co-unit  $\epsilon : C_{\text{f}}^*(\mathbb{G}) = C_{\text{f}}(\mathbb{G}) \rightarrow \mathbb{C}$ ,
- f.-d. corepresentations  $v \in M_k(\mathbb{C}) \otimes C(\mathbb{G})$ , intertwiners  $T \in \text{Hom}_{\mathbb{G}}(v, w) \subset M_{l, k}(\mathbb{C})$ .

$\mathbb{G}$  is called unimodular if  $h$  is a trace, amenable if  $\epsilon$  factors through  $\lambda$ .

# Analogies with free group $C^*$ -algebras

$\mathbb{F}O_n$  shares many properties with usual free groups.

On the operator algebraic side:

- $\mathbb{F}O_n$  is non amenable for  $n \geq 3$  [Banica 1997];
- $C_{\text{red}}^*(\mathbb{F}O_n)$  is simple,  $\mathcal{L}(\mathbb{F}O_n)$  is a full factor [Vaes-V. 2005];
- bi-exactness, rapid decay [V. 2005, 2007], K-amenability [Voigt 2011], a-T-menability [Brannan 2012], weak amenability [Freslon 2013], ...

# Analogy with free group $C^*$ -algebras

$\mathbb{F}O_n$  shares many properties with usual free groups.

On the free probability side:

- $\chi_1 = \sum u_{ii}$  is a semicircular variable with respect to  $h$  [Banica 1997];
- the elements  $(\sqrt{n} u_{ij})_{i,j \leq s}$  are asymptotically free and semi-circular with respect to  $h$  as  $n \rightarrow \infty$  [Banica-Collins 2007, Brannan 2014];
- computation of the spectral measure of  $u_{ij}$  with respect to  $h$  [Banica-Collins-Zinn-Justin 2009]; ...

Main result of this talk:

- the generators  $u_{ij} \in C^*(\mathbb{F}O_n)$  admit matricial microstates (up to any order and precision) with respect to  $h$  (Connes' embedding property)

Strategy:

- $\mathbb{F}O_2$  is amenable, hence  $\mathcal{L}(\mathbb{F}O_2) \subset R^\omega \rightarrow$  induction over  $n$ .
- $O_n^+$  is generated by two copies of  $O_{n-1}^+$ .

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# Generating subgroups

$\mathbb{G}$  compact quantum group with *full* Woronowicz  $C^*$ -algebra  $C_f(\mathbb{G})$ .

**Closed subgroup**  $\mathbb{H} \subset \mathbb{G}$ : compact quantum group with surjective Hopf- $*$ -homomorphism  $\pi : C_f(\mathbb{G}) \twoheadrightarrow C_f(\mathbb{H})$ .

**Inner faithful**  $*$ -homomorphism  $f : C_f(\mathbb{G}) \rightarrow B$ : for any factorization

$$f : C_f(\mathbb{G}) \xrightarrow{\pi} C_f(\mathbb{H}) \xrightarrow{g} B$$

with  $\pi$  surjective Hopf- $*$ -homomorphism,  $\pi$  is an isomorphism.

## Definition

Let  $(\mathbb{H}_1, \pi_1), (\mathbb{H}_2, \pi_2)$  be closed subgroups of  $\mathbb{G}$ . Then  $\mathbb{G} = \langle \mathbb{H}_1, \mathbb{H}_2 \rangle$  if  $\pi_1 \oplus \pi_2 : C_f(\mathbb{G}) \rightarrow C_f(\mathbb{H}_1) \oplus C_f(\mathbb{H}_2)$  is inner faithful.

This is also equivalent to the inner faithfulness of

$$(\pi_1 \otimes \pi_2) \circ \Delta : C_f(\mathbb{G}) \rightarrow C_f(\mathbb{H}_1) \otimes C_f(\mathbb{H}_2).$$

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Restriction:  $v \in M_n(\mathbb{C}) \otimes C_f(\mathbb{G})$  repr. of  $\mathbb{G} + (\mathbb{H}, \pi)$  closed subgroup  
 $\rightarrow v = (\text{id} \otimes \pi)(v)$  representation of  $\mathbb{H}$ .

## Proposition

$$\mathbb{G} = \langle \mathbb{H}_1, \mathbb{H}_2 \rangle \iff \forall v, w \in \text{Rep}(\mathbb{G})$$

$$\text{Hom}_{\mathbb{G}}(v, w) = \text{Hom}_{\mathbb{H}_1}(v, w) \cap \text{Hom}_{\mathbb{H}_2}(v, w)$$

# Examples

$H_1, H_2 \subset G$  classical compact groups  $\rightarrow$  usual notions.

$\mathbb{G} = \Gamma^\wedge$  dual of discrete group  $\Gamma$

$\rightarrow \pi_i$  induced by surjective group morphisms  $\pi_i : \Gamma \rightarrow \Gamma_i$ .

$\rightarrow \Gamma^\wedge = \langle \Gamma_1^\wedge, \Gamma_2^\wedge \rangle \iff \Gamma \rightarrow \Gamma_1 \times \Gamma_2$  faithful.

Some subgroups of  $O_n^+$ :

- $\rho : C(O_n^+) \rightarrow C(O_n)$ ,  $[u_{ij}, u_{kl}] \rightarrow 0$ .
- $\pi_i : C(O_n^+) \rightarrow C(O_{n-1,i}^+) \simeq C(O_{n-1}^+)$ ,  $u_{ii} \rightarrow 1$ .

Note that  $O_{n-1,i}^+ \subset O_n^+$  is the stabilizer of  $e_i \in \mathbb{C}^n$ .

## Theorem

For  $n \geq 4$  and  $i \neq j$  we have  $O_n^+ = \langle O_{n-1,i}^+, O_{n-1,j}^+ \rangle = \langle O_{n-1,i}^+, O_n \rangle$ .

# Brauer diagrams

$P(k, l)$ : set of partitions of  $k$  upper points and  $l$  lower points into pairs

$NCP(k, l) \subset P(k, l)$ : partitions that can be represented by a boxed planar diagram with noncrossing strings

Let  $H = \mathbb{C}^n$  and associate to  $p \in P(k, l)$  the linear map  $T_p : H^{\otimes k} \rightarrow H^{\otimes l}$ :

$$T_p(e_{i_1} \otimes \cdots \otimes e_{i_k}) = \sum_j \binom{i_1 \dots i_k}{p \quad j_1 \dots j_l} e_{j_1} \otimes \cdots \otimes e_{j_l},$$

where the middle symbol is 1 if all blocs in  $p$  join pairs of equal indices, and 0 if not.

Then:

- $\text{Hom}_{O_n}(u^{\otimes k}, u^{\otimes l}) = \text{Span}\{T_p \mid p \in P(k, l)\}$  [Brauer],
- $\text{Hom}_{O_n^+}(u^{\otimes k}, u^{\otimes l}) = \text{Span}\{T_p \mid p \in NCP(k, l)\}$  [Banica].

# A lemma of linear algebra

Denote  $TCP(k, l) \subset P(k, l)$  the subset of diagrams where crossings are allowed only with lines that are connected to an upper point. Then:

## Lemma

$$\text{Hom}_{O_{n-1,i}^+}(1, u^{\otimes k}) = \text{Span}\{ T_p(e_i \otimes \cdots \otimes e_i) \mid s \leq k, p \in TCP(s, k) \}$$

Put  $\xi_s = e_1 \otimes \cdots \otimes e_1 \otimes e_2 + e_1 \otimes \cdots \otimes e_2 \otimes e_1 + \cdots + e_2 \otimes e_1 \otimes \cdots \otimes e_1 \in H^{\otimes s}$ .

## Lemma

We have  $\text{Hom}_{O_{n-1,i}^+}(1, u^{\otimes k}) \cap \text{Hom}_{O_{n-1,j}^+}(1, u^{\otimes k}) = \text{Hom}_{O_n^+}(1, u^{\otimes k})$   
 iff the family of vectors  $\{ T_p(\xi_s) \mid 1 \leq s \leq k, p \in TCP(s, k) \}$  is linearly independant.

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We have  $\text{Hom}_{O_{n-1,i}^+}(1, u^{\otimes k}) \cap \text{Hom}_{O_{n-1,j}^+}(1, u^{\otimes k}) = \text{Hom}_{O_n^+}(1, u^{\otimes k})$   
iff the family of vectors  $\{T_p(\xi_s) \mid 1 \leq s \leq k, p \in \text{TCP}(s, k)\}$  is linearly independant.

## Lemma

If  $n \geq 4$ , the independance property of the previous lemma is true for any  $k$ . As a result  $O_n^+ = \langle O_{n-1,i}^+, O_{n-1,j}^+ \rangle$ .

Moreover we have strong numerical evidence of:

## Conjecture

The same is true for  $n = 3$ .

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# Connes' embedding property

Let  $R^\omega$  be an ultrapower of the hyperfinite  $II_1$  factor.

For  $A$  unital  $C^*$ -algebra, define

$$CEP(A) = \{\tau : A \rightarrow \mathbb{C} \text{ tracial state} \mid \pi_\tau(A)'' \hookrightarrow R^\omega \text{ tracially}\},$$

where  $\pi_\tau$  is the GNS representation.

For  $\Gamma$  *unimodular* discrete quantum group:  $CEP(\Gamma) = CEP(C_f^*(\Gamma))$ .

We say that  $\Gamma$  is **hyperlinear** if  $h \in CEP(\Gamma)$ , i.e. if its von Neumann algebra  $\mathcal{L}(\Gamma)$  embeds tracially in  $R^\omega$ .

## Proposition

- If  $\tau_1, \tau_2 \in CEP(\Gamma)$  then  $\tau_1 * \tau_2 = (\tau_1 \otimes \tau_2) \circ \Delta \in CEP(\Gamma)$ .
- If  $\tau_n \rightarrow \tau$  pointwise and  $\tau_n \in CEP(\Gamma)$  then  $\tau \in CEP(\Gamma)$ .

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Let  $(\mathbb{H}_1, \pi_1), (\mathbb{H}_2, \pi_2)$  be subgroups of  $\mathbb{G}$ .

Denote  $h_i = h_{\mathbb{H}_i} \circ \pi_i : C_f^*(\mathbb{G}) \rightarrow \mathbb{C}$  and  $h = h_{\mathbb{G}} : C_f^*(\mathbb{G}) \rightarrow \mathbb{C}$ .

### Proposition

We have  $\mathbb{G} = \langle \mathbb{H}_1, \mathbb{H}_2 \rangle$  iff  $h = \lim(h_1 * h_2)^{*n}$  pointwise.

### Corollary

If  $\mathbb{G} = \langle \mathbb{H}_1, \mathbb{H}_2 \rangle$  and  $\hat{\mathbb{H}}_1, \hat{\mathbb{H}}_2$  are hyperlinear, then  $\hat{\mathbb{G}}$  is hyperlinear.

# Hyperlinearity of $\mathbb{F}O_n$

## Corollary

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Recall that  $\mathbb{F}O_n = \hat{O}_n^+$  and  $O_n^+ = \langle O_{n-1,i}^+, O_{n-1,j}^+ \rangle$  for  $n \geq 4$ .

Moreover  $\mathbb{F}O_2$  is hyperlinear because it is amenable.

→  $\mathbb{F}O_n$  hyperlinear for all  $n$  if  $O_3^+ = \langle O_{2,i}^+, O_{2,j}^+ \rangle$ .

Bypass to avoid the use of the conjecture at  $n = 3$ :

## Lemma (after A. Chirvasitu)

We have  $O_4^+ = \langle O_2^+ \hat{*} O_2^+, O_4 \rangle$ .

Altogether:

## Theorem

$\mathbb{F}O_n$  is hyperlinear for all  $n \neq 3$ .

# Free entropy dimension

Denote by  $\delta_0$  Voiculescu's modified free entropy dimension.

Consequence of Connes' embedding property: we can apply Jung's "hyperfinite monotonicity" result. Since  $\mathcal{L}(\mathbb{F}O_n)$  contains diffuse von Neumann subalgebras this yields:

## Corollary

*For the generators  $u_{ij}$  of  $\mathcal{L}(\mathbb{F}O_n)$ ,  $n \neq 3$ , we have  $1 \leq \delta_0(u_{ij})$ .*

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## Corollary

For the generators  $u_{ij}$  of  $\mathcal{L}(\mathbb{F}O_n)$ ,  $n \neq 3$ , we have  $1 \leq \delta_0(u_{ij})$ .

On the other hand we have an upper bound coming from  $\ell^2$ -Betti numbers. More precisely

$$\delta_0(u_{ij}) \leq \delta^*(u_{ij}) \leq \beta_1^{(2)}(\mathbb{F}O_n) - \beta_0^{(2)}(\mathbb{F}O_n) + 1$$

by [Biane-Capitaine-Guionnet] and [Connes-Shlyakhtenko].

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by [Biane-Capitaine-Guionnet] and [Connes-Shlyakhtenko]. Moreover

### Theorem (V. 2012)

We have  $\beta_1^{(2)}(\mathbb{F}O_n) = 0$  for all  $n \geq 3$ .

Since  $\mathbb{F}O_n$  is infinite we have  $\beta_0^{(2)}(\mathbb{F}O_n) = 0$  [Kyed] and finally

### Corollary

For the generators  $u_{ij}$  of  $\mathcal{L}(\mathbb{F}O_n)$ ,  $n \neq 3$ , we have  $\delta_0(u_{ij}) = 1$ .